

## IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF TEXAS WACO DIVISION

THE TRUSTEES OF PURDUE UNIVERSITY,

Plaintiff,

vs. Civil Action No. 6:21-CV-00727-ADA

STMICROELECTRONICS
INTERNATIONAL N.V. and
STMICROELECTRONICS, INC.,

Defendants.

VIDEOTAPED DEPOSITION OF JAMES A. COOPER, Ph.D.

May 11, 2023
8:02 a.m.
100 Sandoval Street
Santa Fe, New Mexico

PURSUANT TO THE FEDERAL RULES OF CIVIL PROCEDURE, this deposition was:

TAKEN BY: MR. MIKE BETTINGER

Attorney For Defendants

REPORTED BY: Peggy Jo Gonzales, RMR, CCR #145

Bean & Associates, Inc.

Professional Court Reporting Service 201 Third Street, Northwest, Suite 1630

Albuquerque, New Mexico 87102

(8287N-PJ)

## Case 6:21-cv-00727-ADA-DTG Document 373-7 Filed 08/22/23 Page 3 of 7 James A. Cooper, Ph.D. May 11, 2023

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17	THE CALL GOLDSTAM, VIACOSTAPHOL		
18	INDEX		
19		PAGE	
20	EXAMINATION OF JAMES A. COOPER, Ph.D.		
21	By Mr. Bettinger	8	
22	By Mr. Lahad	305	
23	By Mr. Bettinger	311	
24	CERTIFICATE OF COMPLETION OF DEPOSITION	314	
25	WITNESS SIGNATURE/CORRECTION PAGE	316	

And there's a reference there to the Ph.D. 1 Ο. thesis proposal of Dr. Saha, and there's a July 17, 2 2004, date, correct? 3 4 Α. That's correct. Ο. To your knowledge, did the thesis proposal 6 of Dr. Saha exist before July 17, 2004? Α. It did. When is the earliest date, to your 8 Ο. 9 knowledge, that the thesis proposal existed? 10 I believe it's -- it's in February of 2004. Α. 11 And what is that -- what is that based on? Ο. It's based on a copy of -- of an earlier 12 Α. 13 draft that is on my computer, with a metadata 14 creation date of February 2004. 15 Ο. Thank you. You can put that aside. 16 Let me step back. There's been some 17 complaints or discussion in this case about the lack 18 of a signed thesis proposal. Let me ask you this: 19 Would you expect there to be a signed thesis 20 proposal? 21 Α. No. 22 Ο. Why not? 23 Α. It was not our practice to sign the 2.4 The thesis proposal was not an official 25 university document, it was not deposited with the

1 university. What is the purpose of a thesis proposal, 2 then? 3 It's -- it's a written description that's 4 Α. 5 given to the advisory committee of the student, prior to the oral exam, to serve as a basis for their questions during the oral exam. Earlier today you were shown several prior 8 Ο. art references that were cited during prosecution of 9 10 the 633 patent. Do you recall that? 11 Α. Okay, you're going to have to point me to 12 the ones you're referring to. For example, Exhibit 20 to Ono. 13 14 Exhibit 19. Exhibit 18. 15 Α. Right, okay, I'm with you. 16 Did you review these prior art references Ο. 17 in preparation for your deposition today? 18 Α. No, I didn't. 19 Sitting here today, did you have any 20 recollection of -- of this art? 21 I remember seeing some of these. I don't 22 have any recollection of the contents specifically. 23 Q. In testifying regarding these references, 2.4 was it your intention to provide any technical 25 analysis of this art today?

## Case 6:21-cv-00727-ADA-DTG Document 373-7 Filed 08/22/23 Page 6 of 7 James A. Cooper, Ph.D. May 11, 2023

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9	Defendants.	
10	CERTIFICATE OF COMPLETION OF VIDEOTAPED DEPOSITION	
11	I, PEGGY JO GONZALES, New Mexico CCR #145, DO	
12	HEREBY CERTIFY that on May 11, 2023, the deposition of JAMES A. COOPER, Ph.D. was taken before me at the	
13	request of, and sealed original thereof retained by:	
14	Attorney for the Defendants MR. MIKE BETTINGER SIDLEY AUSTIN, LLP 555 California Street	
15		
16	San Francisco, California 94104 mbettinger@sidley.com 415.772.1224	
17	I FURTHER CERTIFY that copies of this	
18	certificate have been mailed or delivered to all Counsel, and parties to the proceedings not	
19	represented by counsel, appearing at the taking of the deposition:	
20	I FURTHER CERTIFY that examination of this	
21	transcript and signature of the witness was requested by the witness and all parties present.	
22	On a letter was mailed or delivered to	
23	MR. JOHN P. LAHAD regarding obtaining signature of the witness.	
24		
25		

## Case 6:21-cv-00727-ADA-DTG Document 373-7 Filed 08/22/23 Page 7 of 7 James A. Cooper, Ph.D. May 11, 2023

I FURTHER CERTIFY that the recoverable cost of 1 the original and one copy of the deposition, including exhibits, to MR. MIKE BETTINGER is 2 3 I FURTHER CERTIFY that I did administer the oath to the witness herein prior to the taking of this 4 deposition; that I did thereafter report in stenographic shorthand the questions and answers set 5 forth herein, and the foregoing is a true and correct transcript of the proceeding had upon the taking of 6 this deposition to the best of my ability. 7 I FURTHER CERTIFY that I am neither employed by nor related to nor contracted with (unless excepted by the rules) any of the parties or attorneys in this 9 case, and that I have no interest whatsoever in the final disposition of this case in any court. 10 11 Peggy Jo Gorzane 12 Peggy Jo Gonzales, RMR, CCR #145 13 Bean & Associates, Inc. Professional Court Reporting Service NM Certified Court Reporter #145 14 License Expires: 12/31/2023 15 16 17 (8287N-PJ) 18 Date Taken: May 11, 2023 19 Proofread by: PD 20 21 22 23 24 25